

PATENT
ATTORNEY DOCKET NO. SHIM1120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Aizawa et al. Art Unit: 1642
Application No.: 09/830,019 Examiner: Unassigned
Filing Date: September 21, 2001
Title: VACCINE PREPARATIONS CONTAINING ATTENUATED TOXIN

BOX PCT
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in connection with the above-identified patent application,
please find the following:

- (1) Preliminary Amendment (6 pgs);
- (2) Return postcard.

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MAY 13 2002

TECH CENTER 1600/2900

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein
are being deposited with the United States Postal Service as first
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Box PCT, Commissioner for Patents, Washington, D.C. 20231

Karen LePari
Karen LePari

In re Application of:

Aizawa, et al.

Serial No.: 09/830,019

Filed: April 19, 2001

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PATENT
Docket No. SHIM1120

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: February 25, 2002



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PRELIMINARY AMENDMENT

In advance of the first examination in this case, Applicants request amendment of the subject application, as follows:

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